

MAR 01 2002

/ section 7

TRANSMITTAL
FORMApplication
Number

09/994,919

Filing Date

November 28, 2001

First Named Inventor

Winston Donald Keech

Group Art Unit

UNASSIGNED

Examiner Name

UNASSIGNED

Total Number of Pages in This
Submission

29

Attorney Docket
Number

46354.010500

ENCLOSURES (check all that apply)



Fee Transmittal Form

☒ Check Attached \$540.00

Amendment / Reply

☐ After Final☐ Affidavits/declaration(s)

Extension of Time Request



Express Abandonment Request



Information Disclosure Statement

Certified Copy of Priority
DocumentsResponse to Missing Parts/
Incomplete Application☒ Response to Missing

Parts under 37 CFR 1.53(b)

Assignment Papers
(for an Application)Drawing(s) - Formal
22 Pages and 22 Figures

Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation
Change of Correspondence
Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) <<TEXT>>

After Allowance Communication
to GroupAppeal Communication to Board
of Appeals and InterferencesAppeal Communication to Group
(Appeal Notice, Brief,
Reply Brief)

Proprietary Information



Small Entity Declaration



Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual NameGREENBERG TRAURIG
PAUL L. RATCLIFFE

Signature

Date

February 5, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 5, 2002.

Typed or printed name

Paul L. Ratcliffe

(45,290)

Signature

Paul L. Ratcliffe

Date February 5, 2002



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Atty's Docket No. 46354.010500

In re application of:
Serial No.: 09/994,919
Filed: November 28, 2001

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Transmitted herewith is a fee calculation transmittal form in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
☐ No additional fee is required.

☒ Verified statement(s) to establish small entity status under 37 C.F.R. §1.9 and §1.27 enclosed.

The fee has been calculated as shown below.

(1)	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS	27	MINUS	20	7	x \$9.00	\$63.00
INDEP. CLAIMS	4	MINUS	3	1	x \$42.00	\$42.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						
				TOTAL ADDITIONAL FEE FOR THIS AMENDMENT		\$105.00

- ☒ The amount of \$ 435.00 is included in the attached check to cover the statutory basic filing fee (370.00) and surcharge (65.00) as set forth in 37 CFR 1.16(1).

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,919	11/28/2001	Winston Donald Keech	46354.010500

CONFIRMATION NO. 7412

 22191
 GREENBERG-TRAURIG
 1750 TYSONS BOULEVARD, 12TH FLOOR
 MCLEAN, VA 22102


FORMALITIES LETTER



OC00000007166392

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$210.
 - \$126 for 7 total claims over 20.
 - \$84 for 1 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/07/2002 WABR/HAM1 00000022 09994919 *copy of this notice **MUST** be returned with the reply.*

 01 FC:201
 02 FC:203
 03 FC:202

 370.00 OP
 63.00 OP
 42.00 OP
 65.00 OP

CC AA RD

 03/07/2002 WABR/HAM1 00000022 09994919
 01 FC:201
 02 FC:203
 03 FC:202
 04 FC:205

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1. The first part of the paper is devoted to the study of the properties of the function $f(x)$ defined by the equation $f(x) = \sum_{n=0}^{\infty} a_n x^n$, where a_n are the coefficients of the power series. It is shown that the function $f(x)$ is analytic in the disk $|x| < 1$ and that it satisfies the functional equation $f(x) = x f(x^2) + 1$.